



Docket No. 2026-4266US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): SCHLOM et al.

Group Art Unit: 1644

Serial No.: 09/529,121

Examiner: P. Nolan

Filed: June 13, 2000

For: AGONIST AND ANTAGONIST PEPTIDES OF CARCINOEMBRYONIC ANTIGEN (CEA)

**AMENDMENT FEE TRANSMITTAL**

Commissioner for Patents  
P.O. Box 2327.  
Arlington, VA 22202

Sir:

Transmitted herewith is an Amendment for the above-identified application.

No additional fee is required.

The additional fee has been calculated as shown below:

**CLAIMS AS AMENDED**

	Claims Remaining After Amendment	Highest No. Covered by Previous Payments	Extra	Rate	Additional Fee
Total Claims*	-			\$18.00/ \$9.00	\$
Independent Claims	-			\$84.00/ \$42.00	\$
Multiple Dependent Claims	(If claims added by amendment include Multiple Dependent Claim(s) and there was no Multiple Dependent Claim(s) in application before amendment add \$280.00 to additional fee (\$140.00 for small entity).				\$
<b>TOTAL</b>					<b>\$</b>


\*Includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. §1.75(c).

Small entity status is or has been claimed.  
Reduced Fees Under 37 C.F.R. §1.9(f) paid herewith \$

- Charge fee to Deposit Account No. 13-4500, Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- The Commissioner is hereby authorized to charge any additional fees which may be required for filing this amendment, including all fees pursuant to 37 CFR §1.17 for its timely consideration, or credit any overpayment to Deposit Account No. 13-4500, Order No. 2026-4266US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- A check in the amount of \$\_\_\_\_\_ to cover the filing fee is attached.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: November 27, 2001

By:   
Caryn DeHoratius  
Registration No. 45,881

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile



11-29-01

16 of 4 \$  
Box Secy

Docket No. 2026-4266US1

THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
DEC 03 2001  
TECH CENTER 1600/2900

Applicant(s): SCHLOM et al.

Group Art Unit: 1644

Serial No.: 09/529,121

Examiner: P. Nolan

Filed: June 13, 2000

For: AGONIST AND ANTAGONIST PEPTIDES OF CARCINOEMBRYONIC ANTIGEN (CEA)

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EL 853 250 041 US

Date of Deposit: November 27, 2001

I hereby certify that the following attached paper(s) and/or fee

1. Response to Notice to Comply with Sequence Rules (1 pg);
2. Copy of Notice to Comply with Sequence Rules (4 pgs);
3. Amendment to Comply with Sequence Rules (4 pgs);
4. Substitute Sequence Listing, paper copy (3 pgs);
5. Substitute Sequence Listing, computer readable copy (3.5" disk);
6. Statement of Sequence Identity (1 pgs);
7. Amendment Fee Transmittal (No Fee Due) (2 pgs);
8. Petition for One Month Extension of Time (2 pgs)
9. Extension Fee (check for \$110.00);
10. Return Postcard

DATE:  
DISK TO STAC  
TRADEMARK

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P. Box 2327, Arlington, VA 22202.

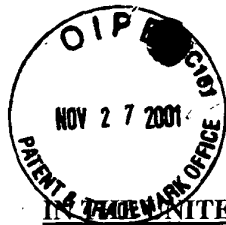
Jesus R. Remedios

(Typed or printed name of person mailing paper(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile



Docket No. 2026-4266US1

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): SCHLOM et al.

Group Art Unit: 1644

Serial No.: 09/529,121

Examiner: P. Nolan

Filed: June 13, 2000

For: AGONIST AND ANTAGONIST PEPTIDES OF CARCINOEMBRYONIC  
ANTIGEN (CEA)

RESPONSE TO "NOTICE TO COMPLY WITH SEQUENCE RULES"

Commissioner for Patents  
P.O. Box 237  
Arlington, VA 22202

Sir:

In response to the NOTICE TO COMPLY WITH SEQUENCE RULES dated September 27, 2001, Applicant(s) submit(s) herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 3 Pages Sequence Listing
- 1 Computer disk(s) containing substitute Sequence Listing
- Statement under 37 C.F.R. §1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same.
- Petition and Fee for one-month extension of time.
- The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 2026-4266US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: November 27, 2001

By: \_\_\_\_\_

Caryn DeHoratius  
Registration No. 45,881

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile